



## Bond of Qualifying Individual Exemption Request

To qualify for an exemption from the Bond of Qualifying Individual (BQI) requirement, the Responsible Managing Officer, Responsible Managing Manager, or Responsible Managing Member must own 10% or more of the voting stock or equity of the corporation or limited liability company (LLC). A Bond of Qualifying Individual Exemption Request must be submitted to establish the exemption. **Note: All Responsible Managing Employees must have BQI's and cannot qualify for the exemption.**

**Please type or print neatly and legibly in black or dark blue ink.**

---

### SECTION 1 - QUALIFIER'S STATEMENT OF OWNERSHIP INFORMATION - COMPLETE EACH AREA

---

1. License Number or Pending Application Fee Number

2. Name of Responsible Managing Officer, Responsible Managing Manager, or Responsible Managing Member

3. Percentage of Voting Stock / Equity Owned (Must Be 10% or More)

4. Effective Date of Voting Stock / Equity Percentage Change

---

### SECTION 2 - SIGN & DATE - COMPLETE EACH AREA

---

I certify under penalty of perjury under the laws of the State of California that the information above is true and correct and that I have reviewed the entire contents of this application.

**FALSIFICATION OF ANY DOCUMENT IS GROUNDS FOR DISCIPLINARY ACTION.**

Signature of Responsible Managing Officer,  
 Responsible Managing Manager, or Responsible  
 Managing Member

Printed Name

Date

#### **NOTICE ON COLLECTION OF PERSONAL INFORMATION**

CSLB collects the personal information requested on this form as authorized by Business and Professions Code section 30 and California Code of Regulations section 816. CSLB uses this information to identify and evaluate applicants for licensure, issue and renew licenses, and enforce licensing standards set by law and regulation. Submission of the requested information is mandatory. CSLB cannot consider this Bond of Qualifying Individual Exemption Request unless you provide all of the requested information. You may review the records maintained by CSLB that contain your personal information, as permitted by the Information Practices Act. CSLB makes every effort to protect the personal information you provide; however, it may be disclosed in response to a Public Records Act request as allowed by the Information Practices Act; to another government agency as required by state or federal law; or in response to a court or administrative order, a subpoena, or a search warrant. For more information on the Information Practices Act, visit the Privacy Enforcement and Protection Unit's website at [www.privacy.ca.gov](http://www.privacy.ca.gov).



B O N D - E X E M P T I O N